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I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231, ON THE DATE INDICATED BELOW.

By:

*Robert J. Benson*

Date:

*2/22/02*

PATENT



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Patent Application of : Group Art Unit: 2164  
Stephen A. Bove et al. :  
:   
:   
Appln. No.: 09/328,626 : Examiner: D. Felten  
:   
Filed: June 9, 1999 :   
:   
For: SYSTEM AND METHOD FOR : Attorney Docket  
AUTOMATING INVESTMENT : No. 245-111  
PLANNING :

RECEIVED

MAR 13 2002

Technology Center 2100

**AMENDMENT TRANSMITTAL LETTER**

Transmitted herewith is an Amendment in the above-identified application.

- ☐ Substitute Specification.  
☐ Small Entity status:  
☐ has previously been claimed/established.  
☐ is hereby claimed under 37 C.F.R. §1.27, as ☐ an Independent Inventor, or ☐ a Small Business Concern, or ☐ a Non-Profit Organization.

The additional claim fees have been calculated as follows:

					SMALL ENTITY		LARGE ENTITY	
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE	RATE	ADDIT. FEE
TOTAL	62	(-)	58	4	x\$9	-0-	x\$18	\$72.00
INDEP.		(-)	3	-0-0	x\$42	-0-	x\$84	-0-
<input type="checkbox"/> 1st PRESENTATION OF MULTIPLE DEPENDENT CLAIMS					+\$140	-0-	+\$280	-0-
TOTAL						-0-	TOTAL	\$72.00

The additional claim fees are being paid by:

- ☒ A check in the amount of \$72.00.
- ☒ Authorization to charge and/or credit **Deposit Account No. 50-1017 (Billing No. 160245.0045)** as noted below. A duplicate copy of this sheet is enclosed.
- ☒ Any overpayments or deficiencies in the above-calculated fee.
- ☒ Any additional fees required under 37 C.F.R. § 1.16 and/or § 1.17.
- ☒ In the event that a Petition for Extension of Time is required, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account.

**CORRESPONDENCE ADDRESS**

February 22, 2002  
(Date)

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Enclosure